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firm and its agents the exam covers the supervision of such areas as investment banking underwriting and research practices trading and market making brokerage office operations and sales practices and agents as well as compliance with financial responsibility rules candidates must have passed the series 62 or series 7 prior to taking the series 24 exam created by the experts at the securities institute of america inc wiley series 24 exam review 2019 arms you with everything you need to pass this intensive 150 question test designed to help you build and fine tune your knowledge of all areas covered in the exam and to guarantee that you re prepared mentally and strategically to take the test it provides dozens of examples assorted practice questions for each subject area covered in the exam priceless test taking tips and strategies helpful hints on how to study for the test manage stress and stay focused wiley series 24 exam review 2019 is your ticket to passing the series 24 test on the first try with flying colors

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examination covers the principles of state securities regulation reflected in the uniform securities act topics covered state securities acts and related rules ethical practices and fiduciary obligations test 65 multiple choice questions 5 of which are experimental candidate must correctly answer at least 43 of the 60 scored questions each book is accompanied by an online test bank with 127 questions organized by chapter the questions included in the book have been included in this online test bank pre requisites in order to conduct securities business many states require that an agent successfully complete the series 63 in addition to obtaining a series 6 7 or 62 registration but a candidate is not required to have any other professional qualifications prior to taking the series 63 exam

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